

## Electronic Acknowledgement Receipt

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|---|---|
| <b>EFS ID:</b>                              | 1701712   |
| <b>Application Number:</b>                  | 10769218  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 9564  |
| <b>Title of Invention:</b>                  | Caspase-9 : BIR domain of XIAP complexes and methods of use   |
| <b>First Named Inventor/Applicant Name:</b> | Yigong Shi  |
| <b>Correspondence Address:</b>              | Pepper Hamilton LLP<br>Firm 21269<br>One Mellon Center, 50th Floor<br>500 Grant Street<br>Pittsburgh PA 15219<br>US 4124545000<br>- |
| <b>Filer:</b>                               | Raymond A. Miller   |
| <b>Filer Authorized By:</b>                 |   |
| <b>Attorney Docket Number:</b>              | 112911.151  |
| <b>Receipt Date:</b>                        | 20-APR-2007   |
| <b>Filing Date:</b>                         | 30-JAN-2004   |
| <b>Time Stamp:</b>                          | 14:20:10  |
| <b>Application Type:</b>                    | Utility   |

### Payment information:

|  |        |
|--|--------|
| Submitted with Payment                   | yes    |
| Payment was successfully received in RAM | \$1020 |
| RAM confirmation Number                  | 2127   |

**File Listing:**

| Document Number | Document Description                  | File Name      | File Size(Bytes) | Multi Part /.zip | Pages (if appl.) |
|-----------------|---------------------------------------|----------------|------------------|------------------|------------------|
| 1               | Amendment - After Non-Final Rejection | ResponseNF.pdf | 4546921          | no               | 19               |

**Warnings:****Information:**

|   |                                      |                   |        |    |   |
|---|--------------------------------------|-------------------|--------|----|---|
| 2 | Request for Corrected Filing Receipt | RequestCorOFR.pdf | 562549 | no | 4 |
|---|--------------------------------------|-------------------|--------|----|---|

**Warnings:****Information:**

|   |                        |              |      |    |   |
|---|------------------------|--------------|------|----|---|
| 3 | Fee Worksheet (PTO-06) | fee-info.pdf | 8146 | no | 2 |
|---|------------------------|--------------|------|----|---|

**Warnings:****Information:****Total Files Size (in bytes):**

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.